## GOVERNMENT OF UNITED REPUBLIC OF TANZANIA



# PRESIDENT'S OFFICE REGIONAL ADMINISTRATION

AND LOCAL GOVERNMENT (PORALG)





### **ROADS TO INCLUSION AND SOCIOECONOMIC OPPORTUNITIES (RISE) PROJECT (P164920)**

NEGOTIATED ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

March 16, 2021

### GOVERNMENT OF UNITED REPUBLIC OF TANZANIA/ TANZANIA RURAL AND URBAN ROADS AGENCY (TARURA) AND TANZANIA NATIONAL ROADS AGENCY (TANROADS)

### ROADS TO INCLUSION AND SOCIOECONOMIC OPPORTUNITIES (RISE) PROJECT (P164920)

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

- The Government of United Republic of Tanzania is planning to implement the Roads to Inclusion and Socioeconomic Opportunities (RISE) Project. The Tanzania Rural and Urban Roads Agency (TARURA) and the Tanzania National Roads Agency (TANROADS) are the implementing agencies with the involvement of the following Ministries/Units: President's Office Regional Administration and Local Government (PORALG), Ministry of Works and Transport (MoWT), The Roads Fund Board (RFB) and Ministry of Finance and Planning (MoFP). The International Development Association ("Association") has agreed to provide financing for the Project.
- The Government of United Republic of Tanzania through TARURA and TANROADS will implement material measures and actions so that the Project is implemented in accordance with the World Bank's Environmental and Social Standards (*ESSs*). This Environmental and Social Commitment Plan (*ESCP*) sets out a summary of the material measures and actions.
- 3. Where the ESCP refers to specific plans or other documents, whether they have already been prepared or are to be developed, the ESCP requires compliance with all provisions of such plans or other documents. In particular, the ESCP requires compliance with the provisions set out in the Environmental and Social Management Framework (ESMF), Resettlement Policy Framework (RPF), Environmental and Social Impact Assessment (ESIA), Environmental and Social Management Plan (ESMP), Resettlement Action Plan (RAP), Stakeholders Engagement Plan (SEP), Labor Management Procedures (LMP), Vulnerable Groups Planning Framework (VGPF) and any other documents that will be identified and developed for the Project.
- 4. The table below summarizes the material measures and actions that are required as well as the timing of the material measures and actions. Government of the United Republic of Tanzania through TARURA and TANROADS is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1 above.
- 5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Association by the Government of the United Republic of Tanzania through TARURA and TANROADS as required by the ESCP and the conditions of the legal agreement, and the Association will monitor and assess progress and

completion of the material measures and actions throughout implementation of the Project.

- 6. As agreed by the Association and Government of the United Republic of Tanzania through TARURA and TANROADS, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, Government of the United Republic of Tanzania through TARURA and TANROADS will agree to the changes with the Association and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Association and the Ministry of Finance and Planning of the Government of the United Republic of Tanzania. Government of the United Republic of Tanzania through TARURA and TANROADS will promptly disclose the updated ESCP. Depending on the project, the ESCP may also specify the funding necessary for completion of a measure or action.
- 7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Government of the United Republic of Tanzania shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include: environmental, health, and safety impacts, labour influx, gender-based violence, Sexual exploitation and harassment.

| ROADS TO INCLUSION AND SOCIOECONOMIC OPPORTUNIT  | IES (RISE) PROJECT  |   |                               |  |  |
|--|---|---|-------------------------------|--|--|
| ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)  |   |   |                               |  |  |
| DATE: March 16, 2021   |   |   |                               |  |  |
| Summary of the Material Measures and Actions to Mitigate<br>the RISE Project's Potential Environmental and Social Risks<br>and Impacts   | Timeframe   | Responsibility /<br>Authority and<br>Resources/Funding<br>Committed | Date of Completion            |  |  |
| ESCP Monitoring and Reporting  |   |   |                               |  |  |
| <b>REGULAR REPORTING:</b><br>Prepare and submit regular monitoring reports on the implementation of the ESCP.  | Quarterly<br>throughout Project<br>implementation.  | Environmental and Social<br>(E&S) Teams (TARURA<br>and TANROADS).   | Throughout the Project cycle. |  |  |
|  |   | Funding from Project's budget. <sup>1</sup>                         |                               |  |  |
| <b>REVIEW AND REVISION:</b><br>The review and revision of all environmental and social framework instruments will be carried out after one year after approval, including the ESCP itself to assess how well it covers the Project needs.  | Once after one year<br>after approval.  | Environmental and Social<br>(E&S) Teams (TARURA<br>and TANROADS).   | Throughout the Project cycle. |  |  |
| <b>INCIDENTS AND ACCIDENTS NOTIFICATION:</b><br>Promptly notify any incident or accident related or having<br>an impact on the Project which has, or is likely to have, a<br>significant adverse effect on the environment, the<br>affected communities, the public or workers <i>including</i><br>pollution and sexual discrimination. Provide sufficient | Promptly after<br>taking notice of the<br>incident or accident<br>(Notify the TTL not<br>later than 24 hours<br>after notification of | Project coordinator, E&S<br>teams (TARURA and<br>TANROADS).         | Throughout the Project cycle. |  |  |

<sup>&</sup>lt;sup>1</sup>Funding from project's budget, it applies all over.

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

|        | ary of the Material Measures and Actions to Mitigate<br>SE Project's Potential Environmental and Social Risks<br>pacts   | Timeframe  | Responsibility /<br>Authority and<br>Resources/Funding<br>Committed | Date of Completion   |
|--------|--|--|---|--|
|        | detail regarding the incident or accident, indicating<br>immediate measures taken to address it, and include<br>information provided by any contractor and supervising<br>entity, as appropriate.  | the<br>accident/incident).   |   |  |
| SUMM/  | ARY ASSESSMENT   | <u>+</u>   | L   |  |
| ESS 1: | ASSESSMENT AND MANAGEMENT OF ENVIRONMENT   | AL AND SOCIAL RISP   | (S AND IMPACTS  |  |
| 1.1    | <ul> <li>ORGANIZATIONAL STRUCTURE:</li> <li>Establish an organizational structure at HQ and Regional offices that is adequate enough to support management of E&amp;S risks including Teams for E&amp;S management that are a part of the organizational structure.</li> <li>A social specialist with experience on Gender Based violence mitigation and response shall be part of this organizational structure.</li> </ul> | Prior to loan<br>effectiveness.<br>Maintained<br>throughout Project<br>implementation.               | TARURA and TANROADS.  | Prior to loan<br>effectiveness.<br>E&S Teams to be retained<br>throughout Project life<br>cycle. |
| 1.2    | <b>ENVIRONMENTAL AND SOCIAL ASSESSMENT:</b><br>Carry out environmental and social assessment to identify<br>and assess environmental and social risks and impacts of<br>the Project activities and appropriate mitigation measures.  | Environmental and<br>Social Assessment<br>instrument (as<br>required by ESMF)<br>will be carried out | E&S teams(TARURA and TANROADS).                                     | Prior to commencing of<br>Sub-projects activities.   |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

|       | ary of the Material Measures and Actions to Mitigate<br>SE Project's Potential Environmental and Social Risks<br>apacts  | Timeframe                             | Responsibility /<br>Authority and<br>Resources/Funding<br>Committed | Date of Completion  |
|-------|--|---------------------------------------|---|---|
|       | • The Environmental and Social Assessment shall incorporate a continuous risk assessment for GBV, including social and capacity assessments, and include measures for their mitigation in sub-project design.  | preparation phase of specific Project |   | Continuously along the Project cycle.   |
| 1.3   | MANAGEMENT TOOLS AND INSTRUMENTS:  |                                       |   |   |
| 1.3.1 | Implement policy frameworks and management plans:<br>Environmental and Social Management Framework (ESMF),<br>Resettlement Policy Framework (RPF), Stakeholder<br>Engagement Plan incl. Grievance Mechanism (SEP), Labour<br>Management Procedures (LMP) and Vulnerable Groups<br>Planning Framework (VGPF); and<br>Disclose and implement ESIAs and RAPs for the first-<br>generation sub-projects. | throughout the RISE<br>Project        | E&S teams (TARURA and<br>TANROADS).                                 | Disclose ESIA and RAP for<br>the road subproject (Mtili-<br>Ifwagi-Mkuta) prior to<br>April 28, 2021.<br>Implementation of policy<br>frameworks and<br>management plans, and<br>Environmental and Social<br>Assessment Instruments<br>(e.g. ESIAs and RAPs)<br>through Project cycle. |
| 1.3.2 | Prepare and disclose Gender Policy for the RISE Project.   | Four months after loan effectiveness. | E&S Teams (TARURA and TANROADS).                                    | After loan effectiveness.   |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

|     | ary of the Material Measures and Actions to Mitigate<br>SE Project's Potential Environmental and Social Risks<br>apacts   | Timeframe   | <i>Responsibility /<br/>Authority and<br/>Resources/Funding<br/>Committed</i> | Date of Completion  |
|-----|---|---|---|---|
| 1.4 | MANAGEMENT OF CONTRACTORS:Develop and implement procedures for managing<br>contractors and subcontractors.Prepare bidding/procurement document with appropriate   | During preparation<br>of procurement<br>documents and prior<br>to commencement<br>of works. | TARURA and TANROADS.  | Prior to signing of<br>contract and before<br>commencement of works.                          |
|     | Environmental Social, Health and Safety (ESHS) content,<br>including specific clauses on disease/pandemic prevention<br>and contingence planning for an outbreak, Sexual<br>Exploitation and Abuse and Sexual Harassment in the<br>Workplace Mitigation and Response. | Procedures to be<br>maintained<br>throughout the RISE<br>Project<br>implementation.         |   | Monitoring of the<br>Contactor's compliance<br>throughout the RISE<br>Project implementation. |
|     | Contractor to prepare Contractor Environmental and<br>Social Management Plan (CESMP); Health and Safety<br>Management Plan; Traffic Management Plan.  |   |   |   |
| 1.5 | <b>PERMIT, CONSENTS AND AUTHORIZATIONS:</b><br>Obtain or assist in obtaining, as appropriate, the permits, consents and authorizations that are applicable to the Project from relevant national authorities.   | Prior to<br>commencement of<br>sub-projects/Project<br>activities.                          | TARURA and TANROADS.  | Prior to RISE Project implementation.   |
|     | Comply or cause to comply, as appropriate, with the conditions established in these permits, consents and authorizations throughout RISE Project implementation.  | Throughout RISE<br>Project<br>implementation and<br>whenever needed.                        |   | Throughout the RISE<br>Project implementation.  |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

|               | ary of the Material Measures and Actions to Mitigate<br>SE Project's Potential Environmental and Social Risks<br>apacts  | Timeframe  | <i>Responsibility /<br/>Authority and<br/>Resources/Funding<br/>Committed</i>           | Date of Completion   |
|---------------|--|--|---|--|
| 1.6<br>ESS 2: | Conduct an independent Environmental and social audit<br>for the 10 km section of Iringa-Kilolo Road intervened by<br>TANROADS, to assess the implementation of<br>environmental and social risks management against the<br>ESIA and RAP; and, if applicable, identify gaps and<br>corresponding remedial measures and cost estimates for<br>their implementation; and recommend a schedule for<br>implementing them.<br><b>LABOR AND WORKING CONDITIONS</b> | Right after the<br>completion of the<br>10km Iringa-Kilolo<br>Road Intervention<br>that will be carried<br>out by TANROADS.  | TANROADS.   | 4 weeks after completion<br>of the 10km Iringa-Kilolo<br>Road Intervention that<br>will be carried out by<br>TANROADS. |
| 2.1           | <b>GRIEVANCE MECHANISM FOR SUB-PROJECT</b><br><b>WORKERS</b> : Develop and maintain a grievance<br>mechanism for direct and contracted workers as well as<br>community workers in the LMP. Ensure that the Grievance<br>Redress Mechanism has the capacity to receive<br>complaints on sexual harassment in the workplace and to<br>treat them with confidentiality.   | Prior to<br>implementation of<br>civil works and will<br>be maintained and<br>updated throughout<br>the RISE Project<br>implementation.<br>Contractors work's<br>contract to<br>incorporate GRM. | E&S teams (TARURA<br>and TANROADS) and<br>contractors.<br>• Contractors' E&S<br>budget. | Prior to RISE Project<br>implementation.<br>Throughout the RISE<br>Project implementation.                             |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

|       | ary of the Material Measures and Actions to Mitigate<br>SE Project's Potential Environmental and Social Risks<br>apacts  | Timeframe   | Responsibility /<br>Authority and<br>Resources/Funding<br>Committed   | Date of Completion   |
|-------|--|---|---|--|
|       |  | Operational<br>throughout the RISE<br>Project<br>implementation.  |   |  |
| 2.1.1 | Sensitize all workers in the sub-projects about the separate GRM for workers and how to file grievances and concerns.  | Throughout the<br>RISE Project<br>implementation.   | E&S teams from TARURA<br>and TANROADS and<br>Contractors.   | Throughout the RISE<br>Project implementation.   |
| 2.1.2 | Provide summary reports of grievances raised, resolved,<br>pending, duration taken to solve grievances, slippage time<br>in grievance resolution, the nature of the grievances and<br>departments which have been complained about under<br>the Project.   | Monthly basis in the<br>Monthly Progress<br>Reports (MPR).  | Contractor with<br>supervision of<br>supervision consultants/<br>TARURA and TANROADS.                                   | Throughout the RISE<br>Project implementation.   |
| 2.2   | OHS MEASURES:<br>Develop and implement occupational, health and safety<br>(OHS) measures in line with Tanzania legal and regulatory<br>framework and the World Bank Environmental and Social<br>Framework, including disease/pandemic prevention and<br>contingency planning for an outbreak.<br>Require Contractors / Supervising Engineers to maintain<br>adequate resources for OHS implementation. | Prior to the bidding<br>of the first civil work<br>contract or<br>disbursement of<br>component 1<br>(whichever is<br>earlier)<br>implementation and<br>to be maintained | <ul><li>E&amp;S teams (TARURA and<br/>TANROADS) and<br/>contractors.</li><li>Contractors' E&amp;S<br/>budget.</li></ul> | Prior to commencement<br>of the Project's activities<br>maintained throughout<br>the RISE Project cycle. |
|       |  | throughout the implementation.  |   |  |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

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|     | ary of the Material Measures and Actions to Mitigate<br>SE Project's Potential Environmental and Social Risks<br>apacts  | Timeframe                                 | Responsibility /<br>Authority and<br>Resources/Funding<br>Committed                                 | Date of Completion  |
| 2.3 | Implement occupational, health and safety (OHS) measures as described in sub-project level ESMPs and in line with OSHA Act 2003. In addition, the Contractors will develop (prior to the start of construction works) and implement a Contractor's OHS management plan with Safety Audits carried out monthly, which should be conducted by the contractors. | Works' contract as<br>part of the bidding | TARURA and TANROADS.<br>Contractors.  | Throughout RISE Project implementation.   |
| 2.4 | <b>EMERGENCY PREPAREDNESS AND RESPONSE:</b><br>As part of the OHS measures specified in 2.3, include<br>measures on emergency preparedness and response, and<br>ensure coordination with measures under 4.5.   | Prior to initiating construction works.   | <ul><li>TARURA and TANROADS<br/>and contractors.</li><li>Contractors' E&amp;S<br/>budget.</li></ul> | Prior to initiating<br>construction works (for<br>the construction period).<br>Prior to facility handover<br>(for the facility operation) |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

| Timeframe  | <i>Responsibility /<br/>Authority and<br/>Resources/Funding<br/>Committed</i>   | Date of Completion   |
|--|---|--|
| Prior to and during construction works.  | <ul><li>TARURA and TANROADS contractors.</li><li>Contractors' E&amp;S budget.</li></ul>   | Throughout the RISE<br>Project implementation.   |
| Bidding document to<br>include requirement<br>of contractor to<br>provide training as<br>part of C-ESMP. C-<br>ESMP to include<br>weekly Toolbox<br>meetings at the<br>work sites. | Contractors.  | Throughout the RISE<br>Project implementation.   |
| Before<br>commencement of<br>the implementation<br>of the first-<br>generation sub-<br>projects.   | TARURA and TANROADS.  | Throughout the RISE<br>Project implementation.   |
|  | Prior to and during<br>construction works.<br>Bidding document to<br>include requirement<br>of contractor to<br>provide training as<br>part of C-ESMP. C-<br>ESMP to include<br>weekly Toolbox<br>meetings at the<br>work sites.<br>Before<br>commencement of<br>the implementation<br>of the first-<br>generation sub- | Authority and<br>Resources/Funding<br>CommittedPrior to and during<br>construction works.TARURA and TANROADS<br>contractors.Prior to and during<br>construction works.TARURA and TANROADS<br>contractors.Bidding document to<br>include requirement<br>of contractor to<br>provide training as<br>part of C-ESMP. C-<br>ESMP to include<br>weekly Toolbox<br>meetings at the<br>work sites.Contractors.TARURA and TANROADS<br>contractors.TARURA and TANROADS.TARURA and TANROADS.TARURA and TANROADS. |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

|               | ary of the Material Measures and Actions to Mitigate<br>SE Project's Potential Environmental and Social Risks<br>apacts   | Timeframe  | Responsibility /<br>Authority and<br>Resources/Funding<br>Committed   | Date of Completion  |
|---------------|---|--|---|---|
| 3.1           | MANAGEMENT OF WASTE AND HAZARDOUS<br>MATERIALS:<br>Develop and implement measures and actions to manage<br>waste and hazardous materials.   | Prior to RISE<br>Project's<br>implementation.<br>Maintained<br>throughout RISE<br>Project<br>implementation. | <ul><li>TARURA, TANROADS and contractors.</li><li>Contractors' E&amp;S budget.</li></ul>                                    | Throughout the RISE<br>Project implementation.                                |
| <b>ESS 4:</b> | COMMUNITY HEALTH AND SAFETY   |  |   |   |
| 4.1           | <b>TRAFFIC AND ROAD SAFETY:</b><br>In consultation with the community, develop and<br>implement measures and actions to assess and manage<br>traffic and road safety risks. Contractors will develop road<br>safety management plan as part of the C-ESMP to<br>address the impacts on local communities of moving<br>construction equipment and the transport of workers to<br>the site. | Prior to<br>Commencement of<br>works.<br>Maintained<br>throughout<br>construction<br>activities.             | Contractors with<br>oversight from TARURA<br>and TANROADS E&S<br>teams.<br>Source of financing:<br>contractors' E&S budget. | Prior to commencement<br>of works and throughout<br>the Project's life cycle. |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

|     | ary of the Material Measures and Actions to Mitigate<br>SE Project's Potential Environmental and Social Risks<br>apacts   | Timeframe  | <i>Responsibility /<br/>Authority and<br/>Resources/Funding<br/>Committed</i>               | Date of Completion  |
|-----|---|--|---|---|
| 4.2 | <b>COMMUNITY HEALTH AND SAFETY:</b><br>Develop and implement measures and action to assess<br>and manage specific risks (including risks of a<br>disease/pandemic outbreak) and impacts to the<br>community arising from Project activities, including in<br>relation to Sub-Project Workers and any risks of labor<br>influx.  | After contract award<br>and prior to<br>commencement of<br>works.<br>Maintained<br>throughout<br>construction<br>activities. | <ul><li>TARURA, TANROADS and contractors.</li><li>Contractors' E&amp;S budget.</li></ul>    | Before commencement of<br>works and throughout the<br>RISE Project<br>implementation. |
| 4.3 | <ul> <li>GBV AND SEA RISKS:</li> <li>Prior to RISE Project Commencement: <ul> <li>Conduct GBV risk assessment and data collection;</li> <li>Map out GBV prevention and response actors in projects,</li> <li>To develop GBV action plan in place with a response and accountability mechanism;</li> <li>Clearly define the GBV requirements and expectations in the bid documents;</li> <li>To develop and implement an effective GRM that has a survivor centered approach and knows how to respond to survivors;</li> </ul> </li> <li>And take into consideration all other actions as indicated in the WB good practice note on addressing gender-based violence.</li> </ul> | Prior to RISE Project<br>effectiveness.<br>Maintained<br>throughout RISE<br>Project<br>implementation.                       | <ul> <li>TARURA, TANROADS and contractors.</li> <li>Contractors' E&amp;S budget.</li> </ul> | Prior to and throughout<br>RISE Project<br>implementation.                            |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

| ry of the Material Measures and Actions to Mitigate<br>E Project's Potential Environmental and Social Risks<br>pacts   | Timeframe   | <i>Responsibility /<br/>Authority and<br/>Resources/Funding<br/>Committed</i> | Date of Completion                         |
|--|---|---|--|
| <ul> <li>GBV AND SEA RISKS DURING RISE PROJECT<br/>IMPLEMENTATION:</li> <li>Specify additional funds available to implement measures<br/>to address GBV and SEA risks and impacts that may arise<br/>during RISE Project implementation.</li> <li>To manage SEA and GBV risks, measures will be<br/>developed as part of the sub-project ESMPs,<br/>implemented and maintained throughout the RISE<br/>Project implementation.</li> <li>Engage qualified institutions (e.g. NGOs, Government<br/>Hospitals etc.), to give Toolbox talks on GBV ad SEA<br/>risks continuous training and awareness and offer GBV<br/>(psychosocial and legal) and referral services as<br/>needed.</li> <li>Develop and train the community and workers on a<br/>grievance/ feedback management process for reporting<br/>cases on GBV and SEA.</li> <li>Develop a Code of Conduct that includes worker's and<br/>contractor's obligations vis-à-vis SEA and SH, and issues<br/>related to GBV to be signed by contractors and workers.</li> <li>Define, as part of the GBV Action Plan the monitoring</li> </ul> | Prior to initiating<br>construction works.<br>Maintained<br>throughout the RISE<br>Project<br>implementation. | TARURA, TANROADS and<br>contractors.<br>• Contractors' E&S<br>budget.         | Prior to and during<br>construction works. |
| mechanisms to ensure that all the mitigation and   |   |   |  |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

|     | ary of the Material Measures and Actions to Mitigate<br>SE Project's Potential Environmental and Social Risks<br>apacts  | Timeframe  | <i>Responsibility /<br/>Authority and<br/>Resources/Funding<br/>Committed</i>            | Date of Completion  |
|-----|--|--|--|---|
|     | response measures are in place and working<br>accordingly. Monitoring shall not conduct data collection<br>on prevalence of cases.   |  |  |   |
| 4.5 | <b>EMERGENCY RESPONSE MEASURES:</b><br>Develop and implement measures to address emergency<br>events and ensure coordination with measures under 2.4.  | Prior to project<br>initiation.<br>Coordinated and<br>maintained<br>throughout the RISE<br>Project<br>implementation<br>whenever<br>emergency events<br>occur. | <ul><li>TARURA, TANROADS and contractors.</li><li>Contractors' E&amp;S budget.</li></ul> | Prior to project initiation.<br>Coordinated throughout<br>the RISE Project's<br>implementation. |
| 4.6 | <b>SECURITY PERSONNEL:</b><br>Develop and implement measures and actions to assess<br>and manage the risks to human security of sub-project-<br>affected communities and sub-project workers that could<br>arise from the use of security personnel. | Prior to the RISE<br>Project<br>implementation.<br>Maintained<br>throughout the RISE<br>Project<br>implementation.   | <ul><li>TARURA, TANROADS and contractors.</li><li>Contractors' E&amp;S budget.</li></ul> | Prior to project's loan<br>effectiveness.   |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

|        | ary of the Material Measures and Actions to Mitigate<br>SE Project's Potential Environmental and Social Risks<br>apacts   | Timeframe                               | <i>Responsibility /<br/>Authority and<br/>Resources/Funding<br/>Committed</i>            | Date of Completion                                   |
|--------|---|---|--|--|
| 4.7    | <b>TRAINING FOR THE COMMUNITY:</b><br>Conduct training for the community designed to heighten<br>awareness of risks and to mitigate impacts specified in<br>this section. Awareness raising on SEA and Sexual<br>Harassment risks and the different mechanisms to report<br>cases shall be part of community training, and it will take<br>into account ethical considerations. | Prior to and during construction works. | <ul><li>TARURA, TANROADS and contractors.</li><li>Contractors' E&amp;S budget.</li></ul> | Throughout the RISE<br>Project implementation.       |
| ESS 5: | LAND ACQUISITION, RESTRICTIONS ON LAND USE A  | ND INVOLUNTARY R                        | ESETTLEMENT  |  |
| 5.1    | LAND ACQUISITION AND RESETTLEMENT:<br>RESETTLEMENT FRAMEWORK:<br>Implement, the Resettlement Framework (RF) that has<br>been prepared for the Project, in a manner acceptable to<br>the Association.  | Throughout project implementation.      | TARURA and TANROADS.   | Throughout the<br>implementation of RISE<br>Project. |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

|     | ary of the Material Measures and Actions to Mitigate<br>SE Project's Potential Environmental and Social Risks<br>pacts   | Timeframe  | Responsibility /<br>Authority and<br>Resources/Funding<br>Committed | Date of Completion  |
|-----|--|--|---|---|
| 5.2 | RESETTLEMENT PLANS:  |  |   |   |
|     | Disclose the RAP for Mtili – Ifwagi- Mkuta   | Prior to board<br>approval of RISE<br>project  | TARURA and TANROADS.  | Disclose the RAP for Mtili<br>-Ifwagi – Mkuta prior to<br>April 28, 2021                                  |
|     | Develop and implement resettlement plans [RAPs] consistent with the requirements of the <i>RPF and</i> ESS5, acceptable to the Association, and thereafter implement the respective RAPs/ARAPs before carrying out the associated civil works. | All RAPs will be<br>finalized and<br>implemented prior<br>to commencement<br>of any civil works. |   | Preparation and<br>implementation of sub-<br>project RAPs prior to<br>commencement of any<br>civil works. |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

|     | ary of the Material Measures and Actions to Mitigate<br>SE Project's Potential Environmental and Social Risks<br>apacts  | Timeframe   | <i>Responsibility /<br/>Authority and<br/>Resources/Funding<br/>Committed</i>                | Date of Completion  |
|-----|--|---|--|---|
| 5.3 | <b>MONITORING AND REPORTING:</b><br>Ensure that monitoring and reporting on land acquisition<br>and resettlement activities are conducted separately or as<br>part of regular reporting. Prepare and submit to the<br>Association regular monitoring reports on RAP<br>Implementation. | Quarterly reporting<br>throughout the RISE<br>Project<br>implementation to<br>the World Bank.                                     | TARURA and TANROADS<br>in coordination with<br>district/municipal<br>governments.            | Throughout the Project implementation.  |
|     | Engage an independent resettlement<br>consultant/consultancy firm to undertake a Resettlement<br>Completion Audit Report for each RP and address any<br>gaps identified to the satisfaction of the Association.  | No later than six (6)<br>months after RP<br>implementation<br>completion and<br>submission for<br>approval by the<br>Association. | E&S teams (TARURA and<br>TANROADS) with the<br>accountability of the<br>Project Coordinator. |   |
| 5.4 | <b>GRIEVANCE MECHANISM:</b><br>Develop and implement the arrangements for the grievance mechanism for resettlement as described in the RPF and SEP in line with ESS10 requirement.   | Prior to project's effectiveness.   | <ul><li>TARURA, TANROADS and contractors.</li><li>Contractors' E&amp;S budget.</li></ul>     | Prior to project's<br>effectiveness.<br>To be implemented<br>through relocation<br>process. |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

|        | ary of the Material Measures and Actions to Mitigate<br>SE Project's Potential Environmental and Social Risks<br>apacts | Timeframe   | Responsibility /<br>Authority and<br>Resources/Funding<br>Committed | Date of Completion  |
|--------|---|---|---|---|
| 5.5    | Develop and disclose a grievance/ feedback management process for project affected people.                              | Upon finalization of<br>sub-projects'<br>Resettlement Action<br>Plan. | E&S Teams (TARURA,<br>TANROADS).                                    | To be implemented<br>throughout and post<br>relocation process. |
| ESS 6: | <b>BIODIVERSITY CONSERVATION AND SUSTAINABLE M</b>  | ANAGEMENT OF LIV  | VING NATURAL RESOUR   | CES   |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

| Summary of the Material Measures and Actions to Mitigate<br>the RISE Project's Potential Environmental and Social Risks<br>and Impacts                      | Timeframe   | <i>Responsibility /<br/>Authority and<br/>Resources/Funding<br/>Committed</i>            | Date of Completion   |
|---|---|--|--|
| and manage risks and impacts on biodiversity, including<br>identification of different types of habitat and<br>circumstances in which offsets will be used. | Prior to RISE Project<br>implementation<br>under<br>Environmental and<br>Social Assessment<br>instrument (as<br>required by ESMF).<br>Maintained<br>throughout the RISE<br>Project<br>implementation. | <ul><li>TARURA, TANROADS and contractors.</li><li>Contractors' E&amp;S budget.</li></ul> | Prior to activity/ sub-<br>project implementation,<br>To be revisited during<br>implementation (prior to<br>location selection). |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

|     | ary of the Material Measures and Actions to Mitigate<br>SE Project's Potential Environmental and Social Risks<br>npacts  | Timeframe  | <i>Responsibility /<br/>Authority and<br/>Resources/Funding<br/>Committed</i>            | Date of Completion  |
|-----|--|--|--|---|
| 7.1 | VULNERABLE GROUPS PLAN:<br>Develop and Sub-projects Specific Vulnerable Groups Plan<br>when sites and sub-project activities have been identified<br>in areas where Vulnerable Groups are present or in which<br>they have a collective attachment, as determined during<br>the environmental and social assessment process, based<br>on the provisions contained in the Vulnerable Groups<br>Planning Framework, and consistent with the<br>requirements of ESS7. | Prior to undertaking<br>activities in areas<br>where Vulnerable<br>Groups are present<br>in, or have collective<br>attachment to a<br>proposed sub-<br>project area, as<br>determined during<br>the environmental<br>and social<br>assessment process. | TARURA and TANROADS.   | To be implemented<br>throughout the RISE<br>Project lifetime. |
| 7.2 | GRIEVANCE MECHANISM:<br>Develop and implement the arrangements for the<br>grievance mechanism for indigenous people in the VGPF.   | Prepare prior to<br>project's appraisal<br>and implement<br>throughout the RISE<br>Project lifetime.   | <ul><li>TARURA, TANROADS and contractors.</li><li>Contractors' E&amp;S budget.</li></ul> | Prior to project's appraisal.                                 |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

| -              | e Material Measures and Actions to Mitigate<br>ct's Potential Environmental and Social Risks  | Timeframe  | <i>Responsibility /<br/>Authority and<br/>Resources/Funding<br/>Committed</i> | Date of Completion  |
|----------------|---|--|---|---|
| summar         | e and disclose at appropriate locations executive<br>y of the Vulnerable Groups Planning Framework<br>to Kiswahili and local languages of VGs in sub-<br>areas. | Prior to undertaking<br>activities in areas<br>where Vulnerable<br>Groups are present<br>in, or have collective<br>attachment to a<br>proposed sub-<br>project area, as<br>determined during<br>the environmental<br>and social<br>assessment process. | TARURA and TANROADS.  | To be implemented<br>throughout the RISE<br>Project lifetime. |
| ESS 8: CULTURA | AL HERITAGE   |  |   |   |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

| the R | nary of the Material Measures and Actions to Mitigate<br>ISE Project's Potential Environmental and Social Risks<br>mpacts | Timeframe   | Responsibility /<br>Authority and<br>Resources/Funding<br>Committed | Date of Completion                             |
|-------|---|---|---|--|
| 8.1   | CHANCE FINDS:<br>Develop and implement a chance find procedure.   | During sub-project<br>preparation as part<br>of Environmental<br>and Social<br>Assessment<br>instrument (as<br>required by ESMF)<br>and maintained<br>throughout the RISE<br>Project<br>implementation. | TARURA and TANROADS.  | Prior to RISE Project<br>implementation.       |
| 8.2   | CULTURAL HERITAGE:<br>Identify measures to address risks and impacts on cultural<br>heritage.                             | During sub-project<br>preparation as part<br>of the<br>Environmental and<br>Social Assessment<br>instrument (as<br>required by ESMF)<br>and prior to sub-<br>Project<br>implementation.                 | TARURA and TANROADS.  | Throughout the RISE<br>Project implementation. |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

|        | ary of the Material Measures and Actions to Mitigate<br>SE Project's Potential Environmental and Social Risks<br>apacts   | Timeframe   | Responsibility /<br>Authority and<br>Resources/Funding<br>Committed | Date of Completion                             |
|--------|---|---|---|--|
| 10.1.1 | SEP IMPLEMENTATION:<br>Implement the SEP and report on stakeholder<br>consultation in the implementation of the project in the<br>Monthly Progress Reports (MPRs) and Quarterly reports.<br>Adopt the SEP for all sub-projects. | Throughout the<br>RISE Project<br>implementation. | TARURA and TANROADS.  | Throughout the RISE<br>Project implementation. |
| 10.1.2 | <b>INCLUSION:</b><br>The RISE Project will ensure that all stakeholders (as defined in the Stakeholder Engagement Plan) are able to engage in consultations during the planning and implementation stages of the Project.       | Throughout the<br>RISE Project<br>implementation. | TANROADS and TARURA.  | Throughout the RISE<br>Project implementation. |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

|   | ary of the Material Measures and Actions to<br>SE Project's Potential Environmental and So<br>npacts   |   | Timeframe  | Responsibility /<br>Authority and<br>Resources/Funding<br>Committed | Date of Completion                             |
|---|--|---|--|---|--|
| 10.2  | <ul> <li>10.2 Establish, maintain and operate a grievance mechanism as specified in the SEP to ensure reception and timely response to any complaints made about the RISE Project (including those from members of the communities, local businesses and other stakeholders), as described in the SEP.</li> <li>The GRM shall be linked with different entry points (as better identified by the mapping) for survivors to place complaints with confidentiality. Registration of complaints shall be conducted by a trained operator, and survivors will be referred to services respecting their wishes and rights.</li> </ul> |   | The grievance<br>mechanism will be<br>established by<br>effectiveness of the<br>project, prior to<br>commencement of<br>the Project, and will<br>be maintained and<br>operated throughout<br>the RISE Project<br>implementation. | TANROADS and TARURA.  | Throughout the RISE<br>Project implementation. |
| Capaci  | ty Support   |   | -  | -   |  |
|   | Specify Training to be provided  |   | argeted Groups and rame for Delivery   | Specify Train   | ning Completed                                 |
| Training may be required in:TARUR• Stakeholder mapping and engagement;teams.• Specific aspects of environmental and social<br>assessment and monitoring of the ESMP;The tra• Occupational health and safety;conduct |  | TARURA ar<br>teams.<br>The trainin<br>conducted | nd TANROADS E&S<br>gs should be<br>prior to Project<br>ss and at least once in   | Throughout the RISE Pro   | ject implementation.                           |

### ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

| <i>Summary of the Material Measures and Actions to<br/>the RISE Project's Potential Environmental and So<br/>and Impacts</i>   |             | Timeframe  | Responsibility /<br>Authority and<br>Resources/Funding<br>Committed | Date of Completion |
|--|-------------|--|---|--------------------|
| <ul> <li>Emergency preparedness and response;</li> <li>Gender mainstreaming in construction works;</li> <li>Implementation and monitoring of HIV/AIDS,<br/>STIs and STDs communicable diseases<br/>interventions;</li> <li>Contractor management on environment and<br/>social risks;</li> <li>Grievances management implementation and<br/>monitoring;</li> <li>Land acquisition and resettlement;</li> <li>Gender-based violence/Sexual Exploitation and<br/>Abuse (SEA) risk assessment, mitigation and<br/>prevention planning.</li> </ul> | annually ba | asis.  |   |                    |
| Training on occupational health and safety including on<br>emergency prevention and preparedness and response<br>arrangements to emergency situations.   | contractors | rkers including<br>s' workers.<br>y recruitment of new | Throughout the Project  | implementation.    |
| Add other capacity support and training opportunities as per the specifics of the Recipient and the Project.   | TBD         |  | TBD   |                    |

THE RISE PROJECT ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

### TBD: To be Determined.