

THE UNITED REPUBLIC OF TANZANIA

**PRESIDENT'S OFFICE REGIONAL ADMINISTRATION AND
LOCAL GOVERNMENT (PORALG)**



TANZANIA RURAL AND URBAN ROADS AGENCY (TARURA)



TANZANIA NATIONAL ROADS AGENCY (TANROADS)



**Additional Financing for ROADS TO INCLUSION AND
SOCIOECONOMIC OPPORTUNITIES (RISE) PROJECT (P164920)**

NEGOTIATED ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

Updated Version

18th December, 2024

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Government of United Republic of Tanzania (Borrower) is implementing the Additional Finance- Roads to Inclusion and Socioeconomic Opportunities (RISE) Project with the involvement of the Tanzania Rural and Urban Roads Agency (TARURA) and the Tanzania National Roads Agency (TANROADS), as set out in the Original and Additional Financing Agreements. The International Development Association (the Association) has agreed to provide the original financing and additional financing for the Project, as set out in Agreements. This updated ESCP supersedes previous versions of the ESCP for the Project and shall apply both to the original and the additional financing for the Project referred to above.
2. The Borrower shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Agreements. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the Agreements.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower shall carry out or cause to be carried out, including, as applicable, their respective timeframes; institutional, staffing, training, monitoring and reporting arrangements; and grievance management. The ESCP also sets out the environmental and social (E&S) documents that shall be prepared or updated, consulted, disclosed and implemented under the Project, consistent with the ESSs, in form and substance acceptable to the Association. Said E&S documents may be revised from time to time with prior written agreement by the Association. As provided for under the referred Agreements, the Borrower shall ensure that there are sufficient funds available to cover the costs of implementing the ESCP.
4. As agreed by the Association and the Borrower, this ESCP will be revised from time to time, if necessary, to reflect adaptive management of Project changes or unforeseen circumstances or in response to Project performance. In such circumstances, the Association and the Borrower agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Borrower's Representative specified in the Agreements. The Borrower shall promptly disclose the updated ESCP.
5. The subsection on "Indicators for Implementation Readiness" below identifies the actions and measures to be monitored to assess Project readiness to begin implementation in accordance with this ESCP. Nevertheless, all actions and measures in this ESCP shall be implemented as set out in the "Timeframe" column below irrespective of whether they are listed in the referred subsection.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
IMPLEMENTATION ARRANGEMENTS AND CAPACITY SUPPORT¹			
A	ORGANIZATIONAL STRUCTURE a. Maintain a PIU at HQ and one Environmental and one Social Specialist. Assigning one environmental specialist and one social specialist at each of the Regional Offices to support management of environmental, social, health and safety (E&S) risks and impacts of the Project .	Prior to project effectiveness. Maintained throughout Project implementation.	TARURA and TANROADS
B	CAPACITY BUILDING PLAN/MEASURES Implement the capacity building plan a) Training for PIU staff and relevant stakeholders on specific E&S aspects that includes: <ul style="list-style-type: none"> • Stakeholder mapping and engagement; • Environmental and Social Impacts - assessment and monitoring of the ESMP; • Occupational and Community health and safety; • Emergency preparedness and response; • Gender mainstreaming in construction works; • Implementation and monitoring of HIV/AIDS, STIs and STDs communicable diseases interventions; • Contractor management on environment and social risks; • Grievances management implementation and monitoring; 	Biannual basis throughout the project implementation	TARURA and TANROADS

¹ For all actions, consult with the country lawyer to ensure consistency with the legal agreement in cases where some actions need to be completed before the project becomes effective (effectiveness condition) or before certain disbursements can occur (disbursement condition).

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<ul style="list-style-type: none"> Land acquisition and resettlement; Gender-based violence/Sexual Exploitation and Abuse (SEA) risk assessment, mitigation and prevention 		
MONITORING AND REPORTING			
C	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (E&S) performance of the Project. The reports shall include:</p> <ul style="list-style-type: none"> ➤ Status of preparation and implementation of E&S documents required under the ESCP. ➤ Summary of stakeholder engagement activities carried out as per the Stakeholder Engagement Plan. ➤ Complaints submitted to the grievance mechanism(s), the grievance log, and progress made in resolving them. ➤ E&S performance of contractors and subcontractors ➤ Number and status of resolution of incidents and accidents reported under action E below. ➤ For Component 6, reports will include specific updates on resilience and mitigation measures in flood-affected areas. 	<p>Submit quarterly reports to the Association throughout Project implementation.</p> <p>Submit each report to the Association no later than 14 days after the end of each reporting period.</p>	TARURA and TANROADS

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
D	<p>CONTRACTORS' MONTHLY REPORTS</p> <p>Require contractors and supervising firms to provide monthly monitoring reports on E&S performance in accordance with the metrics specified in the respective bidding documents and contracts and submit such reports to the Association.</p>	Submit the monthly reports to the Association upon request OR as annexes to the reports to be submitted under action C above].	TARURA, TANROADS, Supervising firms and Contractors
E	<p>INCIDENTS AND ACCIDENTS</p> <p>Notify the Association of any incident or accident relating to the project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including those resulting in death or significant injury to workers or the public; acts of violence, discrimination or protest; unforeseen impacts to cultural heritage or biodiversity resources; pollution of the environment; forced or child labor; displacement without due process (forced eviction); allegations of sexual exploitation or abuse (SEA), or sexual harassment (SH); or disease outbreaks. Provide available details of the incident or accident to the Association upon request.</p> <p>Arrange for an appropriate review of the incident or accident to establish its immediate, underlying and root causes. Prepare, agree with the Association, and implement a Corrective Action Plan that sets out the measures and actions to be taken to address the incident or accident and prevent its recurrence.</p>	<p>Notify the Association no later than 48 hours after learning of the incident or accident. Provide available details upon request.</p> <p>Provide review report and Corrective Action Plan to the Association no later than 10 days following the submission of the initial notice, unless a different timeframe is agreed to in writing by the Association.</p>	TARURA, TANROADS, Supervising firms and Contractors
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
1.1	<p>ENVIRONMENTAL AND SOCIAL ASSESSMENTS AND PLANS</p> <p>1. Prepare and implement an Environmental and Social Impact Assessment (ESIA), and corresponding Environmental and Social Management Plan (ESMP) or a free-standing ESMP consistent with the ESMF and relevant ESSs. ESIA and ESMPs will include provisions for climate resilience, flood risk management, and infrastructure safety.</p> <p>2. Implement an Environmental and Social Management Framework (ESMF) for the Project, consistent with the relevant ESSs</p> <p>3. Require Contractor to prepare and implement the subproject site-specific Environmental and Social Management Plan (ESMP), as set out in the ESMF. The proposed subprojects described in the exclusion list set out in the ESMF shall be ineligible to receive financing under the Project.</p>	<p>1. Prepare the ESIA and ESMP during planning and preparation phase of specific sub-project, and thereafter implement the ESIA and ESMP throughout its implementation.</p> <p>2. Implement the ESMF throughout Project implementation.</p> <p>3. Prepare the ESMP and incorporate the ESMP as part of the respective bidding documents for the respective sub-project prior to the carrying out of sub-project that requires the preparation of such ESMP. Once finalized, implement the respective ESMP throughout Project implementation.</p>	TARURA, TANROADS, Contractors
1.2	<p>MANAGEMENT OF CONTRACTORS</p>	<p>During preparation of procurement documents and respective contracts.</p> <p>Supervise contractors throughout Project implementation.</p>	TARURA and TANROADS

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	Incorporate the relevant aspects of the ESCP, including, inter alia, ESIA and ESMP, the Labor Management Procedures, and code of conduct, into the E&S specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and that they require their subcontractors to comply with the E&S specifications of their respective contracts. Provide copies of the relevant contracts with contractors/subcontractors and supervision firms to the Association.	Copies of relevant contracts provided to the Association upon request.	
1.3	TECHNICAL ASSISTANCE The project to engage Experts (Environmental, Health and Safety; Social and Gender and GBV Experts) to provide technical assistance to the PIU E&S Team in carrying out development of E&S related policies, guidelines, tools systems, processes and approaches, capacity building, training in accordance with terms of reference acceptable to the Association, that are consistent with the ESSs. Thereafter prepare and finalize the outputs of such activities in compliance with the terms of reference.	Throughout Project implementation.	TARURA and TANROADS
1.4	CONTINGENT EMERGENCY RESPONSE FINANCING a) Ensure that the RISE Project's Emergency Response Manual includes a description of the E&S assessment and management arrangements including, CERC-ESMF Addendum for the implementation of CERC in accordance with the ESSs.	a) The preparation of the RISE Project's Emergency Response Manual, if applicable and other E&S documents, as relevant in form and substance acceptable to the Association is a withdrawal condition under Schedule 2 of the legal agreement.	TANROADS

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	b) Implement the E&S provisions of the RISE Project's Emergency Response Manual including, if applicable, the CERC-ESMF Addendum, and any assessments and plans required therein.	b) In accordance with the timeframes specified in the RISE Project's Emergency Response Manual [including, if applicable, the CERC-ESMF Addendum, and any assessments and plans required therein.	
1.5	ASSOCIATED FACILITIES The Borrower shall ensure that the activities in associated facilities (Borrow Pits, Quarry sites etc.) under ownership of the Borrower or not, are carried out in accordance with the applicable requirements of this ESCP and the ESSs, including, inter alia, the ESIA, ESMP, LMP, RAP and the SEP.	Throughout Project implementation.	TARURA, TANROADS, Supervising firms and Contractors
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	LABOR MANAGEMENT PROCEDURES implement the Labor Management Procedures (LMP) for the RISE Project as part of the site specific ESMPs	Implement the LMP of the RISE project throughout Project implementation.	TARURA, TANROADS, Supervising firms and Contractors
2.2	OCCUPATIONAL HEALTH AND SAFETY MANAGEMENT PLAN a) Implement the RISE Project OHS Management Plan to assess and manage OHS risks and impacts. AND/	a) Implement the OHS Management Plan throughout Project implementation.	TARURA, TANROADS, Supervising firms and Contractors

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	b) Require contractors and subcontractors to prepare and implement their own OHS Management Plans or measures in alignment with the RISE Project OHS Management Plan. These plans will incorporate specific safety measures for high-risk activities associated with large-scale infrastructure projects under Component 6, including mandatory use of PPE, safety training, and emergency procedures for flood-related risks.	b) Contractor to prepare the Contractor's OHS Management Plan prior engaging Project workers and thereafter maintain and operate it throughout Project implementation	
2.3	GRIEVANCE MECHANISM FOR PROJECT WORKERS Establish, maintain and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2 requirements.	Establish grievance mechanism prior to engaging Project workers and thereafter maintain and operate it throughout Project implementation.	TARURA, TANROADS, Supervising firms and Contractors
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	WASTE MANAGEMENT PLAN Establish and implement Solid and Liquid Waste Management Plans (WMP), as part of the ESMPs prepared for the sub-project, to manage hazardous and non-hazardous waste, consistent with ESS3 requirements. For Component 6, the WMP will include enhanced protocols for demolition of waste management.	Prepare the WMPs as part of sub-project ESMPs prior to commencement of civil works and thereafter implement the WMPs throughout Project implementation.	TARURA, TANROADS, Supervising firms and Contractors
3.2	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT Incorporate resource efficiency and pollution prevention and management measures in the ESMP to be prepared under action 1.1 above.	Same timeframe as for the preparation and implementation of the ESMP under action 1.1 above.	TARURA, TANROADS, Supervising firms and Contractors
ESS 4: COMMUNITY HEALTH AND SAFETY			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
4.1	TRAFFIC AND ROAD SAFETY Incorporate measures to manage traffic and road safety risks as required in the ESMP to be prepared under action 1.1 above.	Same timeframe as for the preparation and implementation of the ESMP under action 1.1 above.	TARURA, TANROADS, Supervising firms and Contractors
4.2	COMMUNITY HEALTH AND SAFETY Assess and manage specific risks and impacts to the community arising from Project activities including, inter alia, specify any areas of risks that may require emphasis, e.g., behavior of Project workers, risks of labor influx, response to emergency situations], and include mitigation measures in the ESMPs to be prepared in accordance with the ESMF.	Same timeframe as for the preparation and implementation of the ESMP under action 1.1 above.	TARURA, TANROADS, Supervising firms and Contractors
4.3	SEA AND SH RISKS a) Prepare and implement RISE Project's standalone SEA/SH (GBV) Action Plan to assess and manage the risks of SEA and SH in the project. b) Require Contractors to prepare and implement a sub-project's SEA/SH Action (GBV) Plan as part of the LMP or ESMP, to assess and manage the risks of SEA and SH.	a) Prepare the SEA/SH (GBV) Action Plan prior to project commencement thereafter implement throughout project implementation. b) Prepare the SEA/SH (GBV) Action Plan prior engaging Project workers and thereafter maintain and operate it throughout Project implementation.	TARURA, TANROADS, Supervising firms and Contractors

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
4.4	<p>SECURITY MANAGEMENT²</p> <p>Assess and implement measures to manage the security risks of the Project, including the risks of engaging security personnel to safeguard project workers, sites, assets, and activities.</p>	Before security personnel are mobilized	TARURA, TANROADS, and Contractors
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	<p>RESETTLEMENT FRAMEWORK PLAN or PLANS</p> <p>a. implement Resettlement Policy Framework (RPF), consistent with ESS5.</p> <p>b. Prepare and implement a Resettlement Action Plan (RAP) for each activity under the Project for such RAP is required, as set out in the RPF and consistent with ESS5.</p>	<p>a) Implement the RPF throughout Project implementation.</p> <p>b) Prepare and implement the respective RAP prior to carrying out the relevant works, including ensuring that before taking possession of the land and related assets, full compensation has been provided and displaced people have been resettled and moving allowances have been provided.</p>	TARURA, TANROADS, Supervising firms and Contractors
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			

² Depending on security risks and details of the project, the Borrower may retain or engage public security personnel, including military, to provide security for the project. Such cases may require a specific security management assessment and setting out specific measures and actions in the ESCP. See Annex to the “Tip Sheet: Drafting the Environmental and Social Commitment Plan” for further guidance.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
6.1	<p>BIODIVERSITY RISKS AND IMPACTS</p> <p>Develop and implement measures and actions to assess and manage risks and impacts on biodiversity as part of sub-project ESMPs as needed consistent with ESS6.</p>	Measures to be included as part of ESMPs during preparation phase of specific sub-project, and thereafter to be implemented throughout Project implementation.	TARURA, TANROADS, Supervising firms and Contractors
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	<p>VULNERABLE GROUPS PLAN FRAMEWORK</p> <p>a. implement Vulnerable Groups Planning Framework (VGPF) for the Project, consistent with ESS7.</p> <p>b. Require Contractor to prepare and implement Vulnerable Groups Plans (VGP) for each activity if required, as set out in the VGPF, and consistent with ESS7.</p>	<p>a) Throughout project implementation.</p> <p>b) Prepare the VGP prior to carrying out of any activity that requires the preparation of such VGP. Once finalized, implement the respective VGP throughout Project implementation.</p>	TARURA, TANROADS, Supervising firms and Contractors
ESS 8: CULTURAL HERITAGE			
8.1	<p>CULTURAL HERITAGE RISKS AND IMPACTS</p> <p>a) Assess the impacts on cultural heritage and include measures, as part of the ESIA and ESMP, consistent with ESS8.</p>	a) During preparation phase of specific sub-project as part of ESIA and ESMPs.	TARURA, TANROADS, Supervising firms and Contractors

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
8.2	CHANCE FINDS a) Describe and implement the chance find procedures as part of the ESMP of the sub-project. b) Require Contractor to prepare and implement a chance find procedures if required as part of the Contractor's ESMP	a) During preparation phase of specific sub-project describe the chance find procedures in the ESMP. Implement the procedures throughout Project implementation. b) Describe the chance find procedures when required in the Contractor's ESMP and thereafter maintain and operate it throughout Project implementation	TARURA, TANROADS, Supervising firms and Contractors
ESS 9: FINANCIAL INTERMEDIARIES			
9.1	ENVIRONMENTAL AND SOCIAL MANAGEMENT SYSTEM (ESMS)	N/A	N/A
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN Update and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.	Update the SEP prior to Appraisal of CRW Works and thereafter implement SEP throughout Project implementation.	TARURA, TANROADS.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	Establish the grievance mechanism prior to project appraisal thereafter maintain and operate the mechanism throughout Project implementation.	TARURA, TANROADS, Supervising firms and Contractors
INDICATORS FOR IMPLEMENTATION READINESS			

INDICATORS FOR IMPLEMENTATION READINESS	
S/N	Indicator
A	Maintain the PIU at HQ and one Environmental and one Social Specialist at the Regional Offices with qualified E&S staff
5.1	Implement Resettlement Policy Framework (RPF) for the Project, consistent with ESS5.
7.1	Implement Vulnerable Groups Plan Framework (VGPF) for the Project, consistent with ESS7.
10.1	Update and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10.